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# SOUTH ASIA REGIONAL INITIATIVE FOR ENERGY (SARI/Energy)

## SARI/Energy Small Grants Program





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# SOUTH ASIA REGIONAL INITIATIVE FOR ENERGY (SARI/Energy)

## Agenda:

**The Program**

**Objectives**

**Criteria for award – Eligibility, Usage**

**Fund & Cost Sharing**

**Grant Flowchart**

**Application Structure**

**Application Evaluation**

**Schedule (tentative)**



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## SARI/E Participating Countries:



## SMALL GRANTS PROGRAMME:

The Programme seeks to build the capacity of local institutions and to increase the number of institutions engaged in regional energy issues. This is expected to be one of the main vehicles for public participation in SARI/E projects, among others, by community organisations and is expected to raise awareness and involvement at grassroots, local, national and regional levels by improving business and/or livelihood practices in SARI/E countries.



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# *SMALL GRANTS Programme*

## **Funding for direct disbursement of small grants:**

**•Base Period (Present to July 31, 2010):**

**USD\$ 400,000**

**•Option Period (August 01, 2009 to July 31, 2010):**

**USD\$ 200,000**

**USAID/SARI/Energy has budgeted USD\$ 10,000 to \$15,000 per grant to organisations in South Asia to assist small-scale endeavours of qualified non-governmental and community groups implementing relevant programs in the SARI/E geographic areas being targeted by USAID/SARI/Energy.**



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# **PROGRAM** **Objectives**

## **GUIDING PHILOSOPHY:**

- **Promote *good* ideas / initiatives**
  - That are *valuable and replicable* in the *regional context*
  - That *demonstrably engage* (have a stake) *and mobilise community*
  - *Work across and among geographies and cultures* ('concept of twining' - collaboration of organisations across SARI/E countries)
  - Which *accrue benefits* to a *broad number of beneficiaries*
  - Which *scale up* from *conceptualisation, testing, through to commercialisation*



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# ***PROGRAM Objectives***

## **OUR COMMITMENT:**

- ***Handhold*** organisations/teams to ***develop fundable proposals***

# *What sort of activities might fall within the ambit?*

## **INDICATIVE ACTIVITIES:**

- Addressing impediments to trade and investments; trade regulations;
- Coordinating standards across the region (energy efficiency labelling);
- Feasibility studies for trans-national electricity exchange;
- Improved access to and quality of rural energy;
- Development in renewable energy technologies and usages (domestic/ commercial / industrial) – *Solar-Powered ECG, anyone!*
- Demonstrable ideas for energy efficiency and conservation;

*The application of funds need not be restricted to the above activities.*



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## *Who is eligible? ...*

### **GENERAL ELIGIBILITY REQUIREMENTS INCLUDE :**

- **be in existence for at least two years**
- **be non-profit**
- **be non-political, non-police and non-military in purpose and organisation**
- **should have the minimum attributes of a formal organisation (e.g., elected officers; receive dues from members or receive revenues in other ways; has a written charter, constitution or by-laws for its operations)**
- **demonstrate financial soundness; and be responsible and accountable for all monies distributed under this activity**



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## *...Who is eligible?*

### **GENERAL ELIGIBILITY REQUIREMENTS INCLUDE :**

- **be organised to serve legitimate and socially desirable community functions, particularly those that promote women-in-energy issues**
- **If a school, must be a non-government school**
- **If a religious or church organization, the activity for which funds are requested must be a community-based activity that serves all people in the community without regard for membership in that religious organization (e.g., a day-care centre that is open to all children of the community)**
- **Requesting organisation cannot be a second recipient of a small grant**



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# ***What can the funds be used for?***

## **FUNDS MAY BE USED FOR (Indicative list)**

- 1. Buy commodities (materials, equipment, machinery, etc.)**
- 2. Pay for specialised construction work that the community can not easily do; and/or**
- 3. Pay for selected services (e.g. outreach)**



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# ***What can't funds be used for?***

## **FUNDS MAY NOT BE USED FOR**

- sponsorship, salaries, honoraria or fees to officers of the applying organisation
- operating costs of the applying organization
- purely religious, police or military activities
- surveillance equipment
- abortion equipment and services
- luxury goods and services
- luxury goods and gambling equipment
- weather modification equipment
- toxic or otherwise unsafe products, such as certain pesticides, pharmaceuticals, hazardous chemicals (without a waiver); or
- used equipment



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# **APPLICATION** ***Budget...***

## **APPLICANT TO ESTABLISH CLEAR REASONABLE BUDGET FOR GRANT**

- Itemise cost of commodities (materials, equipment, machinery, etc.)
  - price quotations
  - source origin
  - names and addresses for at least three potential suppliers of equipment or materials
- Show cost of specialised construction work that the endeavour cannot easily do
- Define a monetary value on the endeavour's contribution (cash or in-kind), including:
  - the self help contribution (in cash or kind), and
  - the nature and value of the contribution



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# ***APPLICATION Accountability...***

## **ACCEPTANCE OF ACCOUNTABILITY BY INCLUSION OF**

- Describe competently the endeavour's involvement
- Provide other donor agencies contacted
- List the number of beneficiaries
- List the responsible parties for managing the small grant
- Describe how the results of the project will be sustained once USAID funding is complete

## **COST SHARING CONTRIBUTION**

- A **minimum of 50% grantee contribution** is required.
- Grantee is highly encouraged to provide higher grantee contribution whenever feasible
- Applicant cost-sharing can take a number of forms:
  - **direct expenditures to be made by the applicant**
  - **value of employee and contract labor**
  - **value of buildings dedicated to the project**
  - **value of materials and other supplies provided by the applicant to support the project**
  - **value of transportation serviced provided by the applicant**
  - **other (non-USAID) sources of funding.**



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# ***APPLICATION Solicitation process...***

## **GRANT FLOWCHART**





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# APPLICATION General Grant Proposal ...

## GRANT PROPOSAL OUTLINE INCLUDES:

Part I.	Coversheet
Part II.	Information on applicant organisation/initiative group
Part III.	Project description
Part IV.	Work plan and implementation schedule
Part V.	Budget and detailed expenses sheet (including applicant contributions)

*In addition, applicants should provide information related to the new or expanded business e.g. business plan, feasibility study.*



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## CRITERIA AND SCORING USED:

Satisfaction of USAID/SARI/Energy SAWIE Objectives	Maximum 40 points
Organization status and community impact	Maximum 15 points
Project funding	Maximum 20 points
Feasibility and innovativeness of implementation plan	Maximum 20 points
Financial Management	Maximum 5 points

- *A minimum 'threshold' of 70 points (out of a possible 100) for further consideration of an application*
- *Applications scoring less than 70 will be returned to the applicant with appropriate feedback.*



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# *Small Grants Schedule*

## **TENTATIVE SCHEDULE**

Appointment of a Small Grants Administrator	End of November 2008
Mobilisation of Small Grants Administrator	December 2008
First round of inviting proposals	Q1, 2009
Second round of inviting proposals	Q2, 2009
Third round of inviting proposals	Q3, 2009



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**Q & A / Discussion**